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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
Thomas Brockmann, et al )  
Serial No.: 09/845,506 )  
Filed: April 30, 2001 )  
For: A LIGHTING FIXTURE )

Examiner: Unknown  
Art Unit: Unknown  
Irvine, California  
September 7, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on 9/8/01  
Susan M. Langworthy  
Name of applicant, assignee or Registered Representative  
Susan M. Langworthy  
Signature  
September 8, 2001  
Date

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL  
APPLICATION, FILED UNDER 37 CFR 1.53(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed August 30, 2001, applicants submits the following documents:

- 1) Executed Combined Declaration and power of Attorney;
- 2) Check for \$65 for balance due by applicant; and,
- 3) Copy of Notice to File Missing Parts.

Please charge Deposit Account No. 11-1159 for any deficiencies in fees required

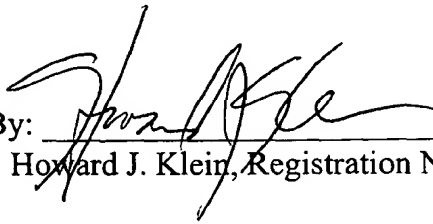
submitted herewith. A duplicate copy of this document is enclosed.

Respectfully submitted,

Date:

Sept 7, 2001

By:



Howard J. Klein, Registration No. 28,727

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4199 Campus Drive, Suite 700  
Irvine, California 92612  
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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,506	04/30/2001	Thomas Brockmann	606-16-PA

CONFIRMATION NO. 2090

FORMALITIES LETTER



\*OC00000006495565\*

 KLEIN & SZEKERES, LLP  
 Suite 700  
 4199 Campus Drive  
 Irvine, CA 92612

Date Mailed: 08/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

A.T.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE